

Import Manufacturer Security Assessment Form

(Note: Self-Assessment forms are to be submitted on an annual basis in the month of January.)

Insert Vendor Name In the box shown below.

Read the attached Fred’s C-TPAT Expectations for Vendors & Manufacturers and C-TPAT security recommendations from U.S. Customs then describe your company’s security procedures related to export/import shipments to Fred’s in U.S. by checking (v) the appropriate blocks below.

Please print, sign and forward completed forms to: Import Compliance, email address: CTPATCompliance@fredsinc.com.

Factory Name:

Security Self Assessment Date:

Factory Address:

Factory Respondent Name

Respondent Title
(Principal/Owner, Partner, Security Manager, etc)

Factory Respondent Email

Respondent Telephone Number

1. Select (v) the category that best describes your business with Fred’s in the U.S. (Check all that apply)

Vendor Manufacturer Agent Customs Consolidator
Broker

Freight Forwarder Ocean Carrier Inland Transportation Provider Warehouse Other _____

2. Year in Business: _____

3. Years doing business with Fred’s and its subsidiaries: _____

4. Number of Employees: _____

5. What Fred’s location(s) in the United States does your company most frequently ship to?

Fred’s Memphis, TN DC Fred’s Dublin, GA DC Both

6. Does your company have written security procedures at non-U.S. facilities doing business with Fred’s and conducts periodic reviews of internal controls to ensure security compliance?

Yes No

Import Manufacturer Security Assessment Form

7. Does your company affix seals to loaded containers for shipments to Fred’s Incorporated in the United States?

Note: All seals must meet or exceed the current PAS ISO 17712.2010 standard for high security seals.

Yes No *Specify Type:* _____

Does your company store containers at its facilities?

Yes No

8. If yes to above questions, are containers stored in a secure area to prevent unauthorized access or manipulation?

Yes No

9. Does your company ship full container loads (CY/CY) or mostly LCL shipments to Fred's Incorporated? (Please indicate your best estimate by volume percentage)

CY/CY Loads Only CFS/LCL Loads Only Both _____ %CY/CY _____ %CFS/LCL

Mostly CY/CY Loads _____ % Mostly CFS/LCL Loads _____ %

10. Does your company have procedures in place to verify the physical integrity of the container structure prior to stuffing, and do they address ensuring the reliability of the locking mechanisms of the doors? (See the following attachment for items to be addressed during a container inspection: ([Container Inspection Points](#)))

Yes No

11. Does your company conduct an [8-point inspection](#) on all import containers scheduled to convey Fred's merchandise?

Yes No

12. Does your company have physical access controls to prevent unauthorized entry to facilities, maintain control of employees and visitors, and protect company assets?

Yes No

13. Have you developed and communicated a process to report shipment overages/shortages, losses or abnormalities, whether suspected or confirmed, to Fred's management?

Yes No

14. Is your company a member of any of the following U.S. Customs programs: C-TPAT, ISA, The Business Anti-Smuggling Coalition (BASC), or any other internationally-recognized security initiatives?

Yes, C-TPAT (Please specify SV# and include copy of C-TPAT Certificate) SV# _____

Yes, specify other _____ No

15. If a member of the C-TPAT program has your company been certified and validated? If yes, please state your company's Tier status in the C-TPAT program.

Yes, C-TPAT certified and validated C-TPAT Tier 1 2 3 Yes, certified, not validated N/A

16. Does your company have a Security & Threat Awareness Training Program for its employees?

Yes No

Continue:

Import Manufacturer Security Assessment Form

17. If yes, how often is Security & Threat Awareness training provided for employees?

Annually Quarterly Monthly Weekly As needed

18. Is training documented and records kept on file?

Yes No

19. Does your company have an incentive program to encourage employees to report security anomalies and incidents?

Yes No

20. Does your company have written procedures for reporting security anomalies and incidents to local law enforcement and/or U.S. Customs?

Yes No

21. Do your company's computer systems have limited access that is reserved for authorized company personnel use only?

Yes No

22. Are your company's computer systems password protected to prevent unauthorized access?

Yes No

23. Are the passwords changed every 90 days?

Yes No

24. Does your company use firewalls, encryption software and anti-virus protection to guard from outside intrusion?

Yes No

25. Does your company have a data backup plan?

Yes No

26. Is system mainframe back-up data stored at an off-site location for safekeeping?

Yes No

27. Identify the individual within your company to whom questions about the security of Fred's Incorporated shipments may be directed:

Contact Name: _____

Contact Title: _____

Company Name: _____

Address: _____

Telephone # _____

Email Address: _____

Continue:

[Import Manufacturer Security Assessment Form](#)

**TO BE COMPLETED AND SIGNED BY
FACTORY REPRESENTATIVE**

Insert Factory Name Here acknowledges Fred's Inc. emphasis on supply chain security and recognizes the expectation that Fred's business partners share that commitment. I understand that Fred's may refer security inquiries from U.S. Customs to me.

Name: _____

Title: _____

Signature: _____

Date: _____

Company Stamp/Seal: _____

